

09/26/01
JC893 U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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JC973 U.S. PTO
09/965531
09/26/01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P11545
(maximum 12 characters)

First Named Inventor Ramesh Pendakur

Title: Notifying Users of Available Content and Content Reception Based on User Profiles

Express Mail Label No. EL 899343411 US

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)

EXPRESS MAIL CERTIFICATE OF MAILING

2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**

"Express Mail" mailing label number: EL899343411 US
I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Sept. 26, 2001

Date of Deposit

Krista Mathison
Name of Person Mailing Correspondence

3. **Specification (Total Pages 41)**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. **Drawings(s) (35 USC 113) (Total Sheets 13)**

Signature

Date

5. **Oath or Declaration (Total Pages 5)**

- a. Newly Executed (Original or Copy)
- b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.

6. **Application Data Sheet. (37 CFR 1.76)**

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies), or
 - ii. paper
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: Express Mail Certificate

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____

(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an
assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____
(or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME _____
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (303) 740-1980 FAX (303) 740-6962

Name (PRINT/TYPE): Brent E. Vecchia Registration No.: 48.011

Signature: Brent E. Vecchia Date: 9/25/01

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$870.00

Complete if Known:

Application No. *****

Filing Date Concurrently Herewtih

First Named Inventor Ramesh Pendakur

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket No. 42390P11545

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____ EXPRESS MAIL CERTIFICATE OF MAILING

Charge Any Additional Fee Required Under 37 CFR Express Mail mailing label number EL89934341US

Applicant claims small entity status. See 37 CFR 1.17

2. Payment Enclosed: Check
 Credit Card
 Money Order
 Other

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Sept. 26, 2001 Date of Deposit

Krista Mathison
 Name of Person Mailing Correspondence
Krista Mathison 9/26/01
 Signature Date

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	

SUBTOTAL (1) \$ 710.002. EXTRA CLAIM FEES

Total Claims	20	- 20** =	0	X	\$18.00	=
Independent Claims	5	- 3** =	2	X	\$80.00	= \$160.00
Multiple Dependent						

**Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	80	202
104	270	204
109	80	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 160.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	130	123	130
126	180	126	180
581	40	581	40
		Recording each patent assignment per property (times number of properties)	
146	710	246	355
		For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355
		For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355
169	900	169	900
		Request for Continued Examination (RCE)	
		Request for expedited examination of a design application	
Other fee (specify)			
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) 0.00

SUBMITTED BY:

Typed or Printed Name: Brent E. Vecchia

Signature: Brent E. Vecchia Date: 9/25/01

Reg. Number: 48,011 Telephone Number: (303) 740-1980

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Serial/Patent No.: ********* Filing/Issue Date: **Concurrently Herewith**

Client: **Intel Corporation**

Title: **Notifying Users Of Available Content and Content Reception**

Based on User Profiles

BSTZ File No.: **42390P11545**

Date Mailed: **September 26, 2001**

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (____ pgs.)
- Appeal Brief (____ pgs.) (in triplicate)
- Application - Utility (41 pgs., with cover and abstract)
- Application - Rule 1.53(b) Continuation (____ pgs.)
- Application - Rule 1.53(h) Divisional (____ pgs.)
- Application - Rule 1.53(b) CIP (____ pgs.)
- Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- Application - Design (____ pgs.)
- Application - PCT (____ pgs.)
- Application - Provisional (____ pgs.)
- Assignment and Cover Sheet
- Certificate of Mailing
- Declaration & POA (5 pgs.) (unsigned)
- Disclosure Docs & Orig & Cpy of Inventor's Signed Letter (____ pgs.)
- Drawings: 13 # of sheets includes 13 figures

Atty/Secty Initials: **BEV/kam**
Docket Due Date: _____

Express Mail No.: **EL899343411US** Check No. **1644**
Month(s) Extension of Time Amt. **\$870.00**
Information Disclosure Statement & PTO-149 (____ pgs.) Check No. _____
Issue Fee Transmittal Amt. _____
Notice of Appeal _____
Petition for Extension of Time _____
Petition for _____
Postcard _____
Power of Attorney (____ pgs.) _____
Preliminary Amendment (____ pgs.) _____
Reply Brief (____ pgs.) _____
Response to Notice of Missing Parts _____
Small Entity Declaration for Indep. Inventor/Small Business _____
Transmittal Letter, in duplicate _____
Fee Transmittal, in duplicate _____

Other: **Express Mail Certificate**

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EXPRESS MAIL CERTIFICATE OF MAILING

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September 26, 2001

Date of Deposit

Krista Mathieson

Name of Person Mailing Correspondence

Krista Mathieson

Signature

September 26, 2001

Date